



**Centers for Medicare & Medicaid Services
Center for Consumer Information and Insurance Oversight**

Arkansas Blueprint Package

Exchange Type: Partnership Exchange

Application Percentage Complete: 100%

Application Status: Attested

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Contents

3.0 - Eligibility and Enrollment	2
4.0 - Plan Management	3
5.0 - Risk Adjustment and Reinsurance	25
9.0 - Technology	29
10.0 - Privacy and Security	32
11.0 - Oversight, Monitoring, and Reporting	34
12.0 - Contracting, Outsourcing, and Agreements	39
13.0 - State Partnership Exchange Activities	40

3.0 - Eligibility and Enrollment (100% Completed)

3.14

In accordance with section 155.345(i) of the Exchange Final Rule, the Exchange must follow procedures established in accordance with 45 CFR 152.45 related to the Pre-Existing Condition Insurance Plan (PCIP) transition.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
Work Plan 20121214	HBEPD Work Plan 20121214.pdf	307.04 Kb	12/14/2012

4.0 - Plan Management (100% Completed)

4.1

The Exchange has the appropriate authority to perform the certification of QHPs and to oversee QHP issuers consistent with 45 CFR 155.1010(a).

Completed

Yes No

Supporting Documents

Citation of the State's applicable statutory and/or regulatory authority(ies).

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
Section 4 Plan Management	2012.10.01_Design Review Section 4_Final.docx	104.56 Kb	10/17/2012
Section 4 Plan Management v.2	AR Design Review Section 4_Revised_12142012.pdf	943.43 Kb	12/14/2012

AND Brief description of the integration between the Exchange and the State Department of Insurance.

Brief Description

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
Section 4 Plan Management	2012.10.01_Design Review Section 4_Final.docx	104.56 Kb	10/17/2012
Section 4 Plan Management v.2	AR Design Review Section 4_Revised_12142012.pdf	943.43 Kb	12/14/2012

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.2a

The Exchange has the capacity to certify QHPs in advance of the annual open enrollment period pursuant to 45 CFR 155.1010(a) (1).

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.2b

The Exchange has the capacity to ensure QHPs comply with the QHP certification standards contained in 45 CFR 156 including, but not limited to, standards relating to licensure, solvency, service area, network adequacy, essential community providers, marketing and discriminatory benefit design, accreditation, and consideration of rate increases.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.2c

The Exchange has the capacity to collect, analyze, and if required, submit to the Federal government for review QHPs' plan variations for cost-sharing reductions, advance payment estimates for such reductions, and any supporting documentation needed to ensure compliance with applicable regulations and accuracy of the cost-sharing reduction advance payments.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.2d

The Exchange has the capacity to ensure QHPs meet actuarial value and essential health benefit standards in accordance with applicable regulations and guidance.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.2e

The Exchange has the capacity to ensure QHPs' compliance with market reform rules in accordance with applicable regulations and guidance.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

Section 4 Plan Management	2012.10.01_Design Review Section 4_Final.docx	104.56 Kb	10/17/2012
Section 4 Plan Management v.2	AR Design Review Section 4_Revised_12142012.pdf	943.43 Kb	12/14/2012

Test Documents

Summary of results of State-developed testing

Approval Letter Date: HHS Approval Letter for Waive-Out

Document Title	Document Filename	Size	Date Uploaded
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Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.3a

The Exchange has the capacity to collect and analyze information on plan rates, covered benefits, and cost-sharing requirements pursuant to 45 CFR 155.1020.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.3b

The Exchange has the capacity to use plan rate data and rules for purposes such as generating consumer-facing premiums and determining the second-lowest cost silver plan for premium tax credit calculations.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.4

The Exchange has the capacity to ensure QHPs' ongoing compliance with QHP certification requirements pursuant to 45 CFR 155.1010(a)(2), including a process for monitoring QHP performance and collecting, analyzing, and resolving enrollee complaints.

Completed Expected Completion Date

Yes No

Supporting Documents

Brief description of approach to ensuring QHP compliance and monitoring of QHP performance, including any integration between Exchange and other State entities.

Brief Description

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
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Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.4a

The Exchange has the capacity to ensure QHPs' ongoing compliance with QHP certification requirements pursuant to 45 CFR 155.1010(a) (2) and Exchange operational requirements.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.4b

The Exchange has a process to monitor QHP performance and to collect, analyze, and resolve enrollee complaints in conjunction with any applicable State entities (e.g., State Department of Insurance, consumer assistance programs, and ombudsmen).

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.5

The Exchange has the capacity to support issuers and provides technical assistance to ensure ongoing compliance with QHP issuer operational standards.

Completed Expected Completion Date

Yes No

Supporting Documents

Description of issuer technical assistance and support activities to be provided by the Exchange and examples where applicable.

HHS Approval Letter for Waive-Out

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Document Title	Document Filename	Size	Date Uploaded
Section 4 Plan Management	2012.10.01_Design Review Section 4_Final.docx	104.56 Kb	10/17/2012
Section 4 Plan Management v.2	AR Design Review Section 4_Revised_12142012.pdf	943.43 Kb	12/14/2012

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.6a

The Exchange has a process for recertification of QHP issuers and QHPs including the annual receipt and review of QHP rate, benefit, and cost sharing information pursuant to 45 CFR 155.1020(c).

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.6b

The Exchange has a process for decertification of QHPs and QHP issuers and a process for transitioning enrollees into new QHPs pursuant to 45 CFR 155.1080.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.6c

The Exchange has a process for the QHP issuer appeal of a decertification of a QHP pursuant to 45 CFR 155.1080 and any necessary appeal of QHP certification determinations consistent with any applicable State laws or regulations.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.7

The Exchange has set a timeline for QHP issuer accreditation in accordance with 45 CFR 155.1045. The Exchange also has systems and procedures in place to ensure QHP issuers meet accreditation requirements (per 45 CFR 156.275) as part of QHP certification in accordance with applicable rulemaking and guidance.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

4.8

The Exchange has systems and procedures in place to ensure that QHP issuers meet the minimum certification requirements pertaining to quality reporting and provide relevant information to the Exchange and HHS pursuant to Affordable Care Act 1311(c)(1), 1322(e)(3), and as specified in rulemaking.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

5.0 - Risk Adjustment and Reinsurance (100% Completed)

5.2

The State operates its own reinsurance program per 45 CFR 153 and Affordable Care Act 1341.

Not Applicable

Completed

Yes No

5.2a

If applicable: The reinsurance entity will be a not-for-profit entity and will have the legal authority and capacity to receive self-insured market reinsurance contributions from HHS, determine payment amounts, distribute payments, and perform data collection and auditing functions regarding reinsurance payments.

Not Applicable

Completed

Yes No

5.2b

If the entity collects contributions in the fully insured market in the State: The reinsurance entity will have the legal authority and capacity to identify all issuers in the State's fully insured market that owe reinsurance contributions, determine appropriate contribution amounts from issuers, and ensure the collection of reinsurance contributions.

Not Applicable

Completed

Yes No

Supporting Documents

Brief description of how the State's reinsurance entity intends to collect contributions from the fully insured market and identify sub-contractors that will be involved in collecting contributions from the fully insured market. Note: State must inform HHS of its intent to collect contributions from the fully insured market no later than December 1, 2012. HHS will collect contributions from the self-insured market.

Brief Description

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
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5.2c

If the State opts to modify the Federal reinsurance parameters, collect reinsurance contributions in the fully-insured market, collect additional reinsurance contributions, modify HHS requirements for data collection or collection frequency for issuers receiving reinsurance payments, and/or use more than one reinsurance entity: The State will publish its reinsurance modifications in a State notice of benefit and payment parameters by March 1, 2013.

Not Applicable

Completed

Yes No

Supporting Documents

Timeline under which the State will submit its modifications in the State notice of benefit and payment parameters.

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
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9.0 - Technology (100% Completed)

9.1

The Exchange technology and system functionality complies with relevant HHS information technology (IT) guidance.

Completed Expected Completion Date

Yes No

Supporting Documents

Brief description of any areas of significant variation between Exchange technology and system functionality and HHS IT guidance.

Brief Description

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
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Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

9.2

The Exchange has the adequate technology infrastructure and bandwidth required to support all of the Exchange activities.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

9.3

The Exchange effectively implements IV&V, quality management, and test procedures for Exchange-development activities and demonstrates it has achieved HHS-defined essential functionality for each required activity.

Completed Expected Completion Date

Yes No

Supporting Documents

Brief description of the front-end system engineering work including IT, quality assurance processes and IV&V services used to validate requirements, business processes and development of the Exchange.

Brief Description

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
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Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

10.0 - Privacy and Security (100% Completed)

10.1

The Exchange has established and implemented written policies and procedures regarding the Privacy and Security standards set forth in 45 CFR 155.260(a) (g).

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

10.2

The Exchange has established and implemented safeguards that (1) ensure the critical outcomes in 45 CFR 155.260(a) (4), including authentication and identity proofing functionality, and (2) incorporates HHS IT requirements as applicable.

Completed Expected Completion Date

Yes No

Test Documents

Summary of Independent Verification & Validation (IV&V) of applicable system components

Approval Letter Date: HHS Approval Letter for Waive-Out

Document Title	Document Filename	Size	Date Uploaded
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Summary of results of State-developed testing

Approval Letter Date: HHS Approval Letter for Waive-Out

Document Title	Document Filename	Size	Date Uploaded
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Work Plan

Document Title	Document Filename	Size	Date Uploaded
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20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012
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11.0 - Oversight, Monitoring, and Reporting (100% Completed)

11.1

The Exchange has a process in place to perform required activities related to routine oversight and monitoring of Exchange activities (and will supplement those policies and procedures to implement regulations promulgated under the Affordable Care Act 1313).

Completed Expected Completion Date

Yes No

Supporting Documents

Brief description of the oversight and monitoring plan for the Exchange, including any specific protocols for quality monitoring of Exchange activities (e.g., Eligibility and Enrollment, Plan Management).

Brief Description

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
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Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

11.1a

The Exchange has in effect policies and procedures for performing routine oversight and monitoring of Exchange activities.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

11.1b

The Exchange has in effect quality controls as part of oversight and monitoring of Exchange activities.

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

11.2

The Exchange has the capacity to track and report performance and outcome metrics related to Exchange Activities in a format and manner specified by HHS necessary for, but not limited to, annual reports required by Affordable Care Act 1313(a).

Completed Expected Completion Date

Yes No

Supporting Documents

Brief description of data-collection and reporting processes and Exchange activity-related performance metrics that the Exchange intends to track for internal purposes as part of ongoing quality controls and improvement plan.

Brief Description

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
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Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

11.3

The Exchange has instituted procedures and policies that promote compliance with the financial integrity provisions of Affordable Care Act 1313 (and will supplement those policies and procedures to implement regulations promulgated under the Affordable Care Act 1313), including the requirements related to accounting, reporting, auditing, cooperation with investigations, and application of the False Claims Act.

Completed Expected Completion Date

Yes No

Supporting Documents

Indicate the financial or accounting standards with which the Exchange is in compliance (e.g., Government Accounting Standards Board, Government Accountability Office (GAO) Government Auditing Standards (Yellow Book), OMB Circular A-123 [Management's Responsibility for Internal Control]).

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
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Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

12.0 - Contracting, Outsourcing, and Agreements (100% Completed)

12.1

The Exchange has executed appropriate contractual, outsourcing, and partnership agreements with vendors and/or State and Federal agencies for all Exchange activities and functionality as needed, including data and privacy agreements. Exchange contracting entities meet the requirements for eligible contracting entities outlined in 45 CFR 155.110.

Completed Expected Completion Date

Yes No

Supporting Documents

List of all contractor(s) with which Exchange has contracted and a notation of the services that the contractor(s) will support.

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
Section 12	2012.10.01_AR Design Review Section 12_Final.docx	37.77 Kb	10/17/2012
Section 4 Plan Management v.2	AR Design Review Section 4_Revised_12142012.pdf	943.43 Kb	12/14/2012

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

13.0 - State Partnership Exchange Activities (100% Completed)

13.1

The State has appropriate agreements in place to operate the Plan Management activities for a State Partnership Exchange.

Not Applicable

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

13.1a

The State and applicable entities have agreed to a process for timely plan management data submission in the specified format to the Federally-facilitated Exchange.

Not Applicable

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

13.1b

The State and applicable entities have signed and agreed to adhere to the terms and conditions of all necessary agreement(s) required to carry out required Exchange activities.

Not Applicable

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

13.1c

The State and applicable entities have agreed on a process for coordination with Federally-facilitated Exchange account managers and oversight.

Not Applicable

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

13.2

The State has the capacity to interface with the Federally-facilitated Exchange, as necessary, to ensure a seamless consumer experience.

Not Applicable

Completed Expected Completion Date

Yes No

Test Documents

Results of State execution of HHS-developed test scenarios (please refer to the Test Scenarios Activity Area in SERVIS for more information).

Approval Letter Date: HHS Approval Letter for Waive-Out

Document Title	Document Filename	Size	Date Uploaded
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Summary of Independent Verification & Validation (IV&V) of applicable system components

Approval Letter Date: HHS Approval Letter for Waive-Out

Document Title	Document Filename	Size	Date Uploaded
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Summary of results of State-developed testing

Approval Letter Date: HHS Approval Letter for Waive-Out

Document Title	Document Filename	Size	Date Uploaded
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Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

13.2a

The State and the applicable State agencies have the capacity to conduct necessary coordination with the Exchange regarding customer service, outreach, and education.

Not Applicable

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

13.2b

The applicable State agencies have the capacity to share data with the Exchange that is needed to support the eligibility process for Insurance Affordability Programs.

Not Applicable

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

13.3

The appropriate State entity has appropriate agreements in place and capacity to manage and operate a Navigator program and to establish and operate an in-person assistance program for a State Partnership Exchange.

Not Applicable

Completed Expected Completion Date

Yes No

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

13.3a

The appropriate State entity has established or has a process in place to support, administer, and oversee (as applicable) aspects of the Federally-facilitated Exchange Navigator program consistent with the applicable requirements of 45 CFR 155.210, including ensuring that Navigators are adhering to the training and conflict of interest standards established by the Federally-facilitated Exchange and to the privacy and security standards developed by the Federally-facilitated Exchange pursuant to 45 CFR 155.260.

Not Applicable

Completed Expected Completion Date

Yes No

Supporting Documents

Brief description of the appropriate State entity's plan to operate a Navigator program, including how it will ensure Navigators are appropriately trained and meet the Federally-facilitated Exchange's conflict of interest, privacy and security standards.

Brief Description

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
Section 13	2012.10.01_AR Design Review Section 13_Final.docx	256.54 Kb	10/17/2012

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012

13.3b

The appropriate State entity has established an in-person assistance program distinct from the Navigator program, and has a process in place to operate the program consistent with Federally-facilitated Exchange guidance, policies, and procedures.

Not Applicable

Completed Expected Completion Date

Yes No

Supporting Documents

Brief description of the appropriate State entity's plan to operate an in-person assistance program including documentation outlining how it will meet the requirements set out in Federally-facilitated Exchange guidance, policies, and procedures.

Brief Description

HHS Approval Letter for Waive-Out

Approval Letter Date:

Document Title	Document Filename	Size	Date Uploaded
Section 13	2012.10.01_AR Design Review Section 13_Final.docx	256.54 Kb	10/17/2012

Work Plan

Document Title	Document Filename	Size	Date Uploaded
20121214 Work Plan	HBEPD Work Plan 1214.pdf	305.71 Kb	12/14/2012